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PTO/SB/01 (10-00)

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**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**

☐ Declaration Submitted with Initial Filing **OR** ☒ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number **OMRF 182 DIV**

First Named Inventor **Gerald Koelsch**

COMPLETE IF KNOWN

Application Number **/**

Filing Date **May 1, 2001**

Group Art Unit

Examiner Name

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

INHIBITORS OF MEMAPSIN 2 AND USE THEREOF

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

was filed on (MM/DD/YYYY) **02/28/2001**

as United States Application Number or PCT International

Application Number and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	 <input type="checkbox"/> <input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input checked="" type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.
60/141,363	06/28/1999	
60/168,060	11/30/1999	
60/177,836	01/25/2000	
60/178,368	01/27/2000	

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

OMRF 182 DIV

UIC #CT 34 20487/277

DECLARATION — Utility or Design Patent Application

Direct all correspondence to:

☐ Customer Number
or Bar Code LabelOR ☒

Correspondence address below

Name Patrea L. Pabst; Arnall Golden & Gregory, LLP

Address 2800 One Atlantic Center

Address 1201 West Peachtree Street

City Atlanta

State GA

ZIP 30309-3450

Country USA

Telephone (404) 873-8794

Fax (404) 873-8795

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR :

☐ A petition has been filed for this unsigned inventor

Given Name

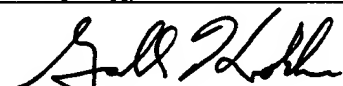
Gerald

(first and middle [if any])

Family Name

Koelsch

or Surname

Inventor's
Signature

Date

2/27/01

Residence: City

Oklahoma City

State OK

Country US

Citizenship US

Mailing Address

8317 N.W. 105 Terrace

Mailing Address

City Oklahoma City

State OK

ZIP 73162

Country US

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name

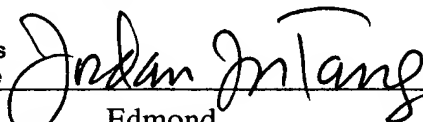
Jordan J. N.

(first and middle [if any])

Family Name

Tang

or Surname

Inventor's
Signature

Date

2/27/01

Residence: City

Edmond

State OK

Country US

Citizenship US

Mailing Address

1204 Leawood Drive

Mailing Address

City Edmond

State OK

ZIP 73034

Country US

☒ Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

Filed: May 1, 2001

Please type a plus sign (+) inside this box

+

PTO/SB/02A (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

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DECLARATION

ADDITIONAL INVENTOR(S) Supplemental Sheet Page 1 of 1

Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])

Family Name or Surname

Lin

Hong

Inventor's
Signature

Lin Hong

Date

2-27-2001

Residence: City Oklahoma City

State OK

Country US

Citizenship CH

Mailing Address 7503 Sacramento Drive

Mailing Address

City Oklahoma City

State OK

ZIP 73139

Country US

Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])

Family Name or Surname

Arun K.

Ghosh

Inventor's
Signature

Date

Residence: City River Forest

State IL

Country US

Citizenship IN

Mailing Address 1407 Clinton Place

Mailing Address

City River Forest

State IL

ZIP 60305

Country US

Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])

Family Name or Surname

Inventor's
Signature

Date

Residence: City

State

Country

Citizenship

Mailing Address

Mailing Address

City

State

ZIP

Country

0964536 043001

PTO/SB/02B (3-97)

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Additional foreign applications:

[illegible]

Additional provisional applications:

Application Number	Filing Date (MM/DD/YYYY)
60/210,292	06/08/2000

Additional U.S. applications:

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number <i>(if applicable)</i>

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or Bar Code LabelOR ☒

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Address 1201 West Peachtree Street

City Atlanta

State GA

ZIP 30309-3450

Country USA

Telephone (404) 873-8794

Fax (404) 873-8795

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NAME OF SOLE OR FIRST INVENTOR :

☐ A petition has been filed for this unsigned inventorGiven Name
(first and middle [if any]) GeraldFamily Name
or Surname KoelschInventor's
Signature

Date

Residence: City Oklahoma City

State OK

Country US

Citizenship US

Mailing Address 8317 N.W. 105 Terrace

Mailing Address

City Oklahoma City

State OK

ZIP 73162

Country US

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventorGiven Name
(first and middle [if any]) Jordan J. N.Family Name
or Surname TangInventor's
Signature

Date

Residence: City Edmond

State OK

Country US

Citizenship US

Mailing Address 1204 Leawood Drive

Mailing Address

City Edmond

State OK

ZIP 73034

Country US

☒ Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

ASSIGNMENT

I, Arun K. Ghosh of 1407 Clinton Place, River Forest, Illinois 60305, in consideration of ten dollars and other valuable consideration paid to me by The Board of Trustees of the University of Illinois, a public corporation of the State of Illinois, having its principal place of business at 352 Henry Administration Building, 201 S. Wright Street, Urbana, Illinois 61801, the receipt of which is hereby acknowledged, do hereby sell, assign and transfer unto said The Board of Trustees of the University of Illinois, its successors and assigns, an undivided part interest for the United States of America, and its territories and all foreign countries and jurisdictions, including all rights of priority under the International Convention for the Protection of Industrial Property, in a certain invention or improvement in "*Inhibitors of Memapsin 2 and Use Thereof*" described in U.S. Serial No. 09/603,713 filed in the United States Patent and Trademark Office on June 27, 2000, by Jordan J.N. Tang and Arun K. Ghosh, which claims priority to (1) U.S. Provisional Application Serial No. 60/141,363 filed in the United States Patent and Trademark Office on June 28, 1999; (2) U.S. Provisional Application Serial No. 60/168,060 filed in the United States Patent and Trademark Office on November 30, 1999; (3) U.S. Provisional Application Serial No. 60//177,836 filed in the United States Patent and Trademark Office on January 25, 2000; (4) U.S. Provisional Application Serial No. 60/178,368 filed in the United States Patent and Trademark Office on January 27, 2000; and (5) U.S. Provisional Application Serial No. 60/210,292 filed in the United States Patent and Trademark Office on June 8, 2000, and in all Letters Patent of the United States and its territories and all foreign countries and jurisdictions which may or shall be granted on said invention, or any parts thereof, or on said application, or any provisional, divisional, continuation, continuation-in-part, reissue, or other applications based in whole or in part thereon. And I agree, for myself and my executor and administrator, with said corporation and its successors and assigns, but at its or their expense or charges, hereafter to execute all applications, amended specifications, deeds or other instruments, and to do all acts necessary or proper to secure the grant of Letters Patent in the United States and its territories and in all other foreign countries and jurisdictions to said corporation, with specifications and claims in such form as shall be approved by the counsel of said corporation, and to vest and confirm in said corporation, its successors and assigns, the legal title to all such patents.

Title: "Inhibitors of Memapsin 2 and Use Thereof"
By: Jordan J.N. Tang and Arun K. Ghosh
Filed: June 27, 2000
ASSIGNMENT

And I do hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said corporation, its successors and assigns.

WITNESS my hand and seal this 13 day of July, 2000.

Arun K. Ghosh
Arun K. Ghosh

State of Illinois)
County of COOK)

Then personally appeared the above named Arun K. Ghosh and acknowledged the foregoing instrument to be his free act and deed, before me this 13th day of July, 2000.

Emily Lam
Notary Public

My Commission expires: 03/01/2004



ASSIGNMENT

I, Jordan J.N. Tang of 1204 Leawood Drive, Edmond, Oklahoma 73034, in consideration of ten dollars and other valuable consideration paid to me by Oklahoma Medical Research Foundation, a corporation of the State of Oklahoma, having its principal place of business at 825 N.E. 13th Street, Oklahoma City, Oklahoma 73104, the receipt of which is hereby acknowledged, do hereby sell, assign and transfer unto said Oklahoma Medical Research Foundation, its successors and assigns, an undivided part interest for the United States of America, and its territories and all foreign countries and jurisdictions, including all rights of priority under the International Convention for the Protection of Industrial Property, in a certain invention or improvement in *"Inhibitors of Memapsin 2 and Use Thereof"* described in U.S. Serial No. 09/603,713 filed in the United States Patent and Trademark Office on June 27, 2000, by Jordan J.N. Tang and Arun K. Ghosh, which claims priority to (1) U.S. Provisional Application Serial No. 60/141,363 filed in the United States Patent and Trademark Office on June 28, 1999; (2) U.S. Provisional Application Serial No. 60/168,060 filed in the United States Patent and Trademark Office on November 30, 1999; (3) U.S. Provisional Application Serial No. 60/177,836 filed in the United States Patent and Trademark Office on January 25, 2000; (4) U.S. Provisional Application Serial No. 60/178,368 filed in the United States Patent and Trademark Office on January 27, 2000; and (5) U.S. Provisional Application Serial No. 60/210,292 filed in the United States Patent and Trademark Office on June 8, 2000, and in all Letters Patent of the United States and its territories and all foreign countries and jurisdictions which may or shall be granted on said invention, or any parts thereof, or on said application, or any provisional, divisional, continuation, continuation-in-part, reissue, or other applications based in whole or in part thereon. And I agree, for myself and my executor and administrator, with said corporation and its successors and assigns, but at its or their expense or charges, hereafter to execute all applications, amended specifications, deeds or other instruments, and to do all acts necessary or proper to secure the grant of Letters Patent in the United States and its territories and in all other foreign countries and jurisdictions to said corporation, with specifications and claims in such form as shall be approved by the counsel of said corporation, and to vest and confirm in said corporation, its successors and assigns, the legal title to all such patents.

Title: "Inhibitors of Memapsin 2 and Use Thereof"
By: Jordan J.N. Tang and Arun K. Ghosh
Filed: June 27, 2000
ASSIGNMENT

And I do hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said corporation, its successors and assigns.

WITNESS my hand and seal this 12th day of July, 2000.

Jordan J.N. Tang
Jordan J.N. Tang

State of Oklahoma)
County of Oklahoma)

Then personally appeared the above named Jordan J.N. Tang and acknowledged the foregoing instrument to be his free act and deed, before me this 12 day of July, 2000.

Wenus Darte
Notary Public

My Commission expires: 2/3/03

0944333-043001

Correspondent Name and Address

Area Code and Telephone Number

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

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Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application Number
has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

#

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

Method of Payment:
Deposit Account

Enclosed ☒

Deposit Account ☒

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

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Authorization to charge additional fees:

Yes

☒

No

☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Patrea L. Pabst

Name of Person Signing
Reg. No. 31,284

Signature

Date

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Jordan J.N. Tang and Arun K. Ghosh

Application No./Patent No.: 09/603,713 Filed/Issue Date: June 27, 2000

Entitled: INHIBITORS OF MEMAPSIN 2 AND USE THEREOF

The Board of Trustees of the University of Illinois, a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or
2. ☒ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
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Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302-302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

July 13, 2000

Date

Craig S. Bazzani
Signature

Craig S. Bazzani

Typed or printed name

Vice Pres. for Bus. & Fin./Comptroller

Title

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Jordan J.N. Tang and Arun K. Ghosh

Application No./Patent No.: 09/603,713 Filed/Issue Date: June 27, 2000

Entitled: INHIBITORS OF MEMAP SIN 2 AND USE THEREOF

Oklahoma Medical Research Foundation, a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or
2. ☒ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
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The document was recorded in the Patent and Trademark Office at
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The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

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The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

July 13, 2000

Date

Mike D. Morgan
Signature

Mike D. Morgan

Typed or printed name

Vice President, Business Affairs

Title

FORM PTO-1619A

Expires 06/30/99
OMB 0651-0027U.S. Department of Commerce
Patent and Trademark Office

09-22-2000



101466617

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TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

☒ New **9-1-00**

☐ Resubmission (Non-Recordation)
Document ID#

☐ Correction of PTO Error
Reel # Frame #

☐ Corrective Document
Reel # Frame #

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☒ Assignment ☐ Security Agreement

☐ License ☐ Change of Name

☐ Merger ☐ Other

U.S. Government
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☐ Departmental File ☐ Secret File

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Execution Date
Month Day Year
07122000

Name (line 1) **Tang, Jordan J.N.**Name (line 2)

Second Party

Name (line 1) Name (line 2) Execution Date
Month Day Year

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) **Oklahoma Medical Research Foundation**Name (line 2) Address (line 1) **825 N.E. 13th Street**Address (line 2) Address (line 3) **Oklahoma City****Oklahoma****73104**

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name Address (line 1) Address (line 2) Address (line 3) Address (line 4)

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Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

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PCT

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Number of Properties

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Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

Method of Payment:
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Enclosed ☒

Deposit Account ☒

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#

Authorization to charge additional fees:

Yes

☒

No

☐

Statement and Signature

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Patrea L. Pabst

Name of Person Signing
Reg. No. 31,284

Signature

Date



09-22-2000



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RECORDATION FORM COVER SHEET
PATENTS ONLY

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Submission Type

☒ New 91-00
☐ Resubmission (Non-Recordation)
Document ID#
☐ Correction of PTO Error
Reel # Frame #
☐ Corrective Document
Reel # Frame #

Conveyance Type

☒ Assignment ☐ Security Agreement
☐ License ☐ Change of Name
☐ Merger ☐ Other
U.S. Government
(For Use ONLY by U.S. Government Agencies)
☐ Departmental File ☐ Secret File

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached
Execution Date
Month Day Year
07132000

Name (line 1)

Name (line 2)

Second Party

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)
City State/Country Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

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Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231



**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

NOVEMBER 08, 2000

PTAS

ARNALL GOLDEN & GREGORY, LLP
PATREA L. PABST, ESQ.
2800 ONE ATLANTIC CENTER
1201 WEST PEACHTREE STREET
ATLANTA, GA 30309-3450



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